Rupture directivity from energy envelope deconvolution: theory and application to 69 Ridgecrest M 3.5–5.5 earthquakes

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 Key Points:

 We develop an energy envelope deconvolution method to resolve small earthquake rupture directivities.
 Directivities of 69 Ridgecrest earthquakes reveal a complex interlocked fault system.
 Spatial patterns of the directivity estimates appear to correlate with heterogeneity in earth

quake stress drops.

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11 Abstract

Earthquake rupture directivity impacts ground motions and provides important insights on fault 12 zone properties and earthquake physics. However, measuring directivity of small earthquakes 13 is challenging due to their compact rupture sizes and complex path and site effects at high frequencies. Here, we develop a new approach that deconvolves energy envelopes of the S-coda waves 15 to remove path and site effects and robustly resolve azimuthal variations in apparent source-time 16 functions. Our method benefits from the coherence of energy envelopes for high-frequency seis-17 mic data, which provides more stable directivity results than regular deconvolution methods. We 18 validate our method using synthetic tests and a well-documented moderate-sized event. We ap-19 ply the algorithm to determine rupture directivities of 69 magnitude 3.5–5.5 earthquakes during 20 the 2019 Ridgecrest earthquake sequence. The rupture directivities suggest an orthogonal inter-21 locking fault system consistent with aftershock locations. Additionally, the rupture directivity pat-22 tern appears to correlate with spatial heterogeneity in earthquake stress drops. Our energy en-23 velope deconvolution method enables directivity measurements at lower magnitudes than tradi-24 tional approaches and has potential for constraining small earthquake rupture dynamics. 25

26 Plain Language Summary

Earthquake faults often rupture in a single direction, which can be detected by measuring 27 the "Doppler" shift in their seismic radiation, i.e., that seismic stations in the direction of rup-28 ture record shorter pulses than those observed at other stations. These directivity effects are eas-29 ily seen for large earthquakes but are challenging to measure for small events because their ap-30 parent pulse widths are biased by scattering from small-scale crustal structure. Here we develop 31 a new approach that uses seismogram envelope functions rather than the original records and show 32 that it provides more robust directivity results than more standard methods. Application to 69 33 aftershocks of the 2019 Ridgecrest earthquakes reveals a complex network of faulting behavior. 34

35 **1 Introduction**

Rupture directivity leads to asymmetries in the duration and intensity of seismic radiation 36 around faults and is seen most clearly for unilateral ruptures with a single preferred rupture di-37 rection. Directivity causes variations in ground motion intensity and frequency content, thereby 38 affecting the seismic hazard distribution near faults [Somerville et al., 1997; Kurzon et al., 2014]. 39 Moreover, large earthquakes often involve geometrically complex faults, which may include junc-40 tions, kinks, and interlocked branches [Wang et al., 2018; Jia et al., 2020a, 2023]. The mechan-41 ical properties of these complex fault systems and their earthquake rupture properties are related 42 to rupture directivity. For example, numerical models suggest that slip along a bimaterial inter-43 face favors rupture directivity aligned with slip in the more compliant medium [Zaliapin and Ben-44 Zion, 2011; Andrews and Ben-Zion, 1997], and directivity can also be influenced by heteroge-45 neous prestress distributions [Harris and Day, 2005; Wang and Rubin, 2011] and fluid migra-46 tion along the fault interface [Folesky et al., 2016; Yoshida et al., 2022]. 47

Rupture directivity is usually constrained based on differences in the source duration and 48 amplitude of seismic waves across stations at different azimuths [Tan and Helmberger, 2010; Kane 49 et al., 2013a]. Large earthquakes often show asymmetric rupture propagation [McGuire et al., 50 2002], and their rupture directivity can be resolved with various methods, including back pro-51 jection [Fan and Shearer, 2016; Ishii et al., 2005], finite fault inversion [Ji et al., 2002; Hartzell 52 and Heaton, 1983], second moments [McGuire et al., 2001], and subevent modeling [Kikuchi and 53 Kanamori, 1991; Jia et al., 2020b]. However, large events occur infrequently in any given region 54 and thus the more abundant small magnitude earthquakes are better suited to image fault systems. 55 The durations and spectra of body waves are commonly used to estimate the rupture directivity 56 of small earthquakes [Boore and Joyner, 1978; Warren and Shearer, 2006; Cesca et al., 2011]. Small earthquakes have compact fault areas, challenging conventional modeling approaches, as 58 resolving their rupture directivities requires analyzing high-frequency seismic waves at wavelengths 59 matching their rupture sizes. However, existing seismic velocity models face challenges in cap-60

turing small-scale heterogeneity at frequencies higher than about 0.2 Hz [Lee et al., 2014; Wang 61 et al., 2024] and using inaccurate velocity models can introduce errors in earthquake source char-62

acterizations [Luo et al., 2010; Graves and Wald, 2001; Frohlich and Davis, 1999]. As a result, 63

systematic investigations into rupture directivities of small earthquake have been rare.

A common approach to determine rupture directivity without knowing all the details of the 65 seismic velocity structure is the empirical Green's function (EGF) method, which assumes that 66 seismic wave propagation effects from co-located earthquakes are similar regardless of their source 67 processes. In this approach, the seismic waves of a small earthquake, termed the EGF event, can 68 be used to model a nearby larger event, with the shared path and site effect removed through waveform deconvolution [Hartzell, 1978; Mueller, 1985; Hough, 1997]. However, the seismic radi-70 ation of smaller earthquakes is dominated by high frequencies, and EGF deconvolution of small 71 earthquakes faces challenges of cycle skipping because high-frequency waves are more prone to 72 phase misalignment in the deconvolution process [Li and Nábělek, 1999; Vallée et al., 2011]. More-73 over, the prevalence of scattered waves at high frequencies can further obscure the source-time 74 functions retrieved from deconvolution. These factors hamper accurate determination of rupture 75 directivity and the application of the EGF method to smaller magnitude earthquakes [Mueller, 76 1985; Vallée, 2004]. 77

Here, we apply a new energy-envelope deconvolution method to robustly determine small 78 earthquake horizontal rupture directivities. Instead of traditional approaches focusing on the seis-79 mic waves directly, our method involves deconvolution of energy envelopes of S waves for pairs 80 of seismic events to remove path effects and extract rupture characteristics. Our approach benefits from better coherency of energy envelopes at high frequencies [Nakahara et al., 1998; Wu 82 et al., 2014], thereby enhancing the robustness of the source-time-function estimation and rup-83 ture directivity determination. We validate our energy deconvolution analysis using synthetic ex-84 amples and a well-studied moderate-sized event. We apply the algorithm and determine unilat-85 eral rupture directivities of 69 magnitude (M) greater than 3.5 events during the 2019 Ridgecrest 86 earthquake sequence. Our results suggest a complex interlocked fault system, which likely mod-87 ulates the earthquake faulting processes and impacts earthquake rupture dynamics. 88

2 Methods 89

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2.1 Energy envelope inversion framework

Considering the Earth as a linear system for seismic wave propagation, the observed seis-91 mic waves u(t) for an earthquakes can be represented as u(t) = S(t) * G(t), where S(t) repre-92 sents the source time function, G(t) is the Green's function, and * is the convolution operator. 93 Conventional waveform deconvolution assumes the source-time function of the smaller event in 94 an earthquake pair to be a simple pulse, which can be approximated as a delta function when the 95 waveform frequencies are below its corner frequency. This assumption allows for the recorded 96 waveforms of the smaller event to be effectively approximated as G(t). Consequently, the decon-97 volution of the waveforms can remove the common path term G(t) and retrieve the source-time 98 function of the larger target event. 99

This workflow is also applicable to envelopes of high-frequency waves, assuming the high 100 frequency source-time functions are mutually uncorrelated and consist of narrowband random 101 scattered waves [Nakahara, 2008; Sato et al., 2012]. The energy envelope function of the seis-102 mic waves can be expressed as $\langle u^2(t) \rangle = \langle S^2(t) \rangle * \langle G^2(t) \rangle$, where $\langle \rangle$ indicates the 103 envelope function of the time series. Assuming the smaller event's source is a delta function, we 104 can approximate its energy envelope waveforms as envelope Green's functions $\langle G^2(t) \rangle$, and 105 employ EGF deconvolution to isolate the energy-envelope source-time functions. Envelope de-106 convolution is particularly suitable for high-frequency seismic data for small earthquakes, as en-107 velopes not only keep the high-frequency information of the source but also retain the coherency 108 of retrieved source-time functions across different stations. The duration of this energy-envelope 109

source-time function is the same as that of earthquake apparent-source-time function, enablingdirectivity analysis.

To demonstrate the concept of energy-envelope deconvolution, we perform a numerical test 112 (Fig. 1). We generate synthetic source-time functions for a pair of seismic events. For the smaller 113 EGF event, we employ a delta function as its source-time function. For the larger target event, 114 we design its source-time function using a combination of a Hann pulse (12-s duration), a half 115 sine wave (8-s duration), and normally distributed random noise, aiming to mimic a complex rup-116 ture history of the larger event. For the Green's function, we use an exponential decay function 117 with a characteristic duration of 3 seconds, again including normally distributed random noise 118 to simulate high-frequency scattered waves. We then generate synthetic waveforms for both events 119 by convolving their source time functions with the simulated Green's function. Using these syn-120 thetic time series, we compare standard deconvolution with energy-envelope deconvolution. Stan-121 dard deconvolution fails to resolve the duration of the target event, while the envelope deconvo-122 lution method can recover the input source duration. This exercise illustrates the effectiveness 123 of energy envelope deconvolution. 124

Our procedure focuses on resolving the horizontal rupture directivity. Both horizontal and vertical rupture directivity can influence the spatial distribution of the apparent source-duration pattern. The horizontal directivity is reflected in the azimuthal variation of the apparent duration, while the vertical directivity is mostly related to the take-off angles [*Tan and Helmberger*, 2010; *Cesca et al.*, 2011; *Mori*, 1996]. For the Ridgecrest earthquakes, their shallow depths and a relative lack of stations above them lead to the recorded S waves having mostly near-horizontal take-off directions, which limits resolving the vertical rupture directivity.

For the deconvolution, we use a non-negative least-squares (NNLS) inversion [Bro and De Jong, 132 1997] to obtain positive energy source-time functions. In the NNLS inversion, we adopt a reg-133 ularization scheme that uses the azimuthal gap of the stations [Ekström, 2006; Jia and Clayton, 2021], include a corresponding exponential penalty term to the cost function to penalize inco-135 herent apparent source-time functions at close azimuths. The characteristic azimuthal gap is set 136 to be 20 degrees. After we obtain the energy source-time functions, we estimate the apparent source 137 duration for each source-time-function trace. This is achieved by defining the ending point where 138 the amplitude decreases to 30% of the peak amplitude of the source-time function. Variations 139 in these apparent source durations reflect the Doppler effect generated by unilateral rupture di-140 rectivity, and we apply linear regression to these apparent source durations to invert the source 141 parameters including the source duration, rupture direction, and rupture velocity. As the resolved rupture directivities should be consistent with the focal mechanisms, we employ a constraint that 143 the search for rupture directivity is among the four nodal strikes, to obtain the solution that best 144 fits the apparent source durations. 145

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2.2 Composite earthquake test

The coherence of energy envelopes significantly enhances the robustness of obtaining the 147 energy source-time function, thereby improving the rupture directivity estimation. As a demon-148 stration, we conduct a second test, using two real earthquakes recorded at regional distances (Fig. 2). 149 We use a time window 0.5 s before, and 5 s after, the predicted onset of the SH waves [White et al., 150 2021], and filter the waveforms between 5 and 10 Hz. We synthesize a complex M_W 3.9 earth-151 quake by combining the waveforms of a M_W 3.65 event (as the first subevent, SCEDC ID 38451239) 152 with those from a closely located M_W 3.72 event (as the second subevent, SCEDC ID 38448791), 153 both from the 2019 Ridgecrest earthquake sequence, and apply different time shifts across sta-154 tions corresponding to a northeastward horizontal rupture directivity (55°) at 1.5 km/s, with a separation distance of 1.5 km (1 seconds separation). We use the M_W 3.65 earthquake as an EGF 156 event. The composite waveforms show clear onset phases of the first subevent, but the second 157 subevent's contributions are notably contaminated by the coda waves of the first subevent. 158

Using these synthetic waveform data, we compare conventional deconvolution and energy envelope deconvolution in determining the rupture directivity for the synthesized M_W 3.9 event.

Apparent source-time functions from conventional deconvolution show coherent phases for the 161 initial subevent. However, they are followed by multiple peaks that complicate identification of 162 the second subevent. The estimated source durations cannot resolve the horizontal rupture di-163 rectivity and the best-fitting rupture directivity deviates from the input configuration (Fig. 3). In 164 contrast, the apparent source-time functions derived from energy envelope deconvolution show 165 coherent azimuthal patterns that clearly delineate both the first and second subevents (Fig. 3). Fur-166 thermore, the estimated apparent source durations match the input values, leading to better re-167 covery of the input rupture directivity and highlighting the effectiveness of energy-envelope de-168 convolution in the directivity analysis of small earthquakes. 169

3 Application to the 2019 Ridgecrest sequence

Resolving rupture directivity can be very useful in illuminating faulting and the regional 171 stress environment, especially for complex fault networks with multiple active fault strands. For 172 example, the 2019 Ridgecrest earthquake sequence unexpectedly ruptured an orthogonal inter-173 locked fault system [Ross et al., 2019; Shelly, 2020; Lin, 2020]. We apply the energy envelope 174 deconvolution method to examine the rupture directivity of $165 M_W 3.5 - 5.5$ aftershocks recorded 175 by the Southern California Earthquake Data Center (SCEDC) earthquake catalog [Center, 2013]. 176 These events provide uniform spatial coverage of the Ridgecrest faults, which allows high-resolution 177 mapping of rupture styles across the fault network (Fig. 4). 178

For each M_W 3.5-5.5 target event, we use 10 nearby M_W 2.5-4 EGF earthquakes within 179 a 3D distance of 10 km as EGF events. These EGF events are at least 0.5 magnitude units smaller 180 than their corresponding target events, which is different from the commonly adopted 1 magni-181 tude difference criterion for EGF methods [Hutchings and Wu, 1990; Kane et al., 2013b]. We 182 relax the magnitude requirement to increase the number of EGF events and improve their azimuthal 183 coverage, factors we have found more important than obtaining the shortest duration EGF events. 184 These larger magnitude EGF events typically also have higher signal-to-noise ratios. A further 185 advantage is that we are able to lower the minimum magnitude threshold for our target events be-186 cause it is easier to find suitable EGF events. For both the target and EGF events, we download 187 horizontal component 100 Hz sample-rate broadband data (HH channels) from the Southern Cal-188 ifornia Seismic Network (SCSN) stations within 200-km of the epicenters, then rotate them to 189 radial and transverse components. For each SH wave recorded on the transverse component, we 190 use available S-wave picks that have been reviewed by SCEDC analysts and calculate the theo-191 retical SH arrival times using an averaged 1D velocity model for this region [White et al., 2021] 192 for stations lacking S arrival labels. Similar to the synthetic test, we use a time window 0.5 s be-193 fore, and 5 s after, the predicted onset of the SH waves, and filter the waveforms between 5 and 194 10 Hz. We keep the seismograms with a signal-to-noise ratio (SNR) larger than 4 in our decon-195 volution inversion. The SNR is defined as the ratio of the averaged sum of squares of the signal 196 up to 5 s from the S wave onset, to that of the noise extending 2 s before the onset. 197

Our target events include a M_W 5.4 earthquake (SCEDC ID 38450263) during the Ridge-198 crest sequence, which occurred between the M_W 6.4 foreshock and the M_W 7.1 mainshock. This 199 event is located within 2 km epicentral distance of the M_W 7.1 mainshock. Figure 5 shows our 200 energy-envelope deconvolution applied to this M_W 5.4 earthquake, taking a M_W 3.7 earthquake 201 2 km away as an EGF event (SCEDC ID 38448791). The resolved apparent source-time func-202 tions show azimuthally-varying source durations, which translate to a northeastward horizontal rupture directivity of about 50°. Different reference events lead to similar rupture directivity re-204 sults (Dataset S1). The directivity shows that the M_W 5.4 event ruptured a crosscutting fault strik-205 ing toward the northeast rather than on the main southeastward fault branch, which is consistent 206 with an independent directivity analysis for this event using the second moments method [Meng 207 and Fan, 2021], as well as aligning with the northeast-trending seismicity observed from after-208 shock relocation [Shelly, 2020]. 209

We adopt the constraint that our estimated rupture directivity should agree with one of the focal-mechanism nodal-plane strikes to provide self-consistency on the fault geometry. Remov-

ing the focal-mechanism constraint on rupture directivity leads to a similar result for the M_W 5.4 212 event, because the azimuthal variation of the apparent source durations already constrains the rup-213 ture directivity tightly (Fig. 5b,c). This similarity between results from the constrained search 214 and the free search holds for most of the analyzed events (Fig. 6), and the differences are typically within the standard deviation estimated from a bootstrap resampling approach (Fig. 6). Since 216 most of the events in the region are strike-slip earthquakes and their horizontal rupture directions 217 likely align with the fault plane strike, we apply this constraint in our rupture directivity deter-218 mination for our subsequent analyses. As for the evaluation of the results, we only keep an event 219 pair if the optimal solution has misfit at least 25% smaller than the second-best solution (i.e., from 220 the other three nodal strikes of the focal mechanism). Figure S1 illustrates the case for the M_W 221 5.4 earthquake example, where the misfit of the optimal rupture directivity is significantly smaller 222 than the misfits for other directivity orientations. 223

Among all the M_W 3.5–5.5 events analyzed, 69 events are well resolved as unilateral rup-224 ture models. The fault geometries inferred from the rupture directivities are consistent with high-225 resolution aftershock patterns [Fig. 7; Ross et al., 2019]. The ruptures of the 69 earthquakes do 226 not prefer a single direction (Fig. 6a,b). Instead, they exhibit diverse rupture directivity with both NW–SE dominant orientations consistent with the main fault strike, and also NE–SW oriented 228 ruptures cutting across the NE-SW faults (Fig. 6a,b). This variation likely reflects the complex-229 ity of the subsurface Ridgecrest faulting environment and stress conditions, suggesting the po-230 tential of faults and stress to interact in complex ways during an earthquake, which may influ-231 ence the rupture duration and final size of earthquakes. 232

The directivity patterns roughly divide the Ridgecrest fault system into three different sub-233 regions (Fig. 7). The northwestern aftershock zone has most of the M 3.5-5.5 event ruptures trend-234 ing toward the NW-SE, corresponding to subparallel splay faults (Fig. 8). However, there are also 235 6 events indicating NE-SW trending rupture directivity at different locations, which suggest the 236 existence of multiple active antithetic faults cutting across the NW-SE faults [Shelly, 2020; Wang 237 and Zhan, 2020]. Ruptures on a few conjugate faults may represent a volumetric strain release 238 through fabric structures, which have been observed in other places and is attributed to the pres-239 ence of fluids [Toda and Stein, 2003; Ross et al., 2017; Kato et al., 2021]. 240

The middle segment corresponds to faults near the M_W 7.1 earthquake epicenter and its 241 largest slip patch [Ross et al., 2019; Jia et al., 2020a]. Although the surface ruptures have two 242 main traces with along-strike variations, most aftershocks rupture along a narrow straight band 243 trending NW–SE (Fig. 9). Aftershocks that rupture along NE–SW directions are relatively clustered and indicate the existence of three minor sub-faults cutting across the NW-SE main fault 245 strand. The rupture directivities, surface rupture traces, and the relocated seismicity collectively 246 suggest that the shallow subparallel fault segments are likely connected by a deeper through-going 247 fault, forming a flower fault structure. This superficially complex but simpler through-going fault 248 geometry at depth is supported by flower structures imaged from seismic reflections in the region 249 [Monastero et al., 2002], and is consistent with refined aftershock focal mechanisms [Wang and 250 Zhan, 2020] and slip models [Jia et al., 2020a; Jin and Fialko, 2020]. 251

Earthquakes in the southeastern section exhibit highly variable rupture directivities. These rupture directivities show significant fault geometrical variations (Fig. 10). For example, the main through-going fault bifurcates into several sub-parallel horsetail splays. There is also a series of conjugate faults cutting across these splay faults, which include the main NE–SW trending fault ruptured by the M_W 6.4 foreshock. These horsetail faults and interlocked fault segments correspond to the southeastern end of the M_W 7.1 mainshock, where the rupture stopped only a few kilometers from the Garlock fault [*Ross et al.*, 2019].

4 Discussion and conclusions

We develop an energy envelope deconvolution method to measure apparent-source durations and resolve rupture directivities of small earthquakes. One limitation of our approach, in

common with many directivity studies, is that it cannot determine bilateral rupture or weak rup-262 ture directivity. Our analysis considers $165 M_W 3.5-5.5$ events, but after removing events with 263 low signal-to-noise ratios and insignificant misfit reduction, we obtain only 69 earthquakes that 26/ show clear unilateral rupture directivity. For a bilateral rupturing earthquake, the apparent-source durations across different stations will have two lobes of minimum duration in opposite direc-266 tions, instead of a single lobe in the rupture direction as in the case of unilateral rupture [Cesca 267 et al., 2011; Calderoni et al., 2017]. Constraining bilateral rupture components for an individ-268 ual event requires dense azimuthal coverage of stations, as substantial azimuthal gaps will ob-269 scure the two lobes and challenge the rupture directivity determination. Omitting bilateral rup-270 tures may lead to resolving only the stronger rupture direction as unilateral rupture directivity, 271 which could explain the observed low horizontal rupture velocities between 1.0-2.5 km/s for the 272 analyzed Ridgecrest events (Fig. 11). 273

Our directivity results indicate a complex faulting and stress environment, agreeing with 274 details in the aftershock locations, which varies across the Ridgcrest fault zone. The fault archi-275 tecture at the northwestern and southeastern sections shows remarkable complexity with numer-276 ous subsidiary fault segments and fault junctions, whereas the middle segment appears smoother 277 and simpler. We quantify the variation of fault strikes inferred from rupture directivities, using 278 the standard deviation of the fault strikes within distance bins of 5-km radius, and compare them 279 with small earthquake stress drops independently estimated using a spectral decomposition method 280 [Shearer et al., 2022]. We find that the central section with the simpler fault geometry has earth-281 quakes with higher average stress drops, while earthquakes occurring in the complex northwest-282 ern and southeastern sections have lower average stress drop values (Fig. 12). This correlation 283 between fault simplicity inferred from our results and stress drop also appears to align with the 284 largest slip occurring in the central segment of Ridgecrest faults during the M_W 7.1 mainshock [Jia et al., 2020a; Ross et al., 2019; Wang et al., 2020]. 286

This observation seems counter-intuitive, as the existence of fault geometrical complex-287 ities and damage zones are often associated with higher strain accumulation over time, leading 288 to higher-frequency seismic radiation when rupture occurs, both of which lead to higher stress drops [Aki, 1979; Chu et al., 2021]. Our observations might be related to fault-complexity-induced 290 barriers along the fault, which could stall the earthquake rupture development and confine small 291 earthquakes within weak patches, leading to smaller slip amounts and partial stress releases [Das 292 and Aki, 1977; Nielsen and Knopoff, 1998; Zielke et al., 2017]. In this case, smooth fault sur-293 faces such as the central segment of the Ridgecrest fault system allow earthquakes to develop in 294 similar ways, leading to less variation in rupture directivity [Thakur and Huang, 2021; Xu et al., 295 2023]. Our directivity observations for the central Ridgecrest fault section qualitatively agree with 296 the stress-drop variations. However, the aforementioned competing mechanisms allow faultingenvironment complexity to have the potential to both increase and decrease stress drops, and the 298 overall effect might also depend on smaller-scale rheological or stress heterogeneities [Kane et al., 299 2013a; Goebel et al., 2015; Meng and Fan, 2021]. 300

Complex faulting environments play a critical role in controlling earthquake rupture dy-301 namics, as they allow diverse rupture trajectories, such as unexpected cascades and fault-to-fault 302 jumps [Hamling et al., 2017; Ross et al., 2019; Jia et al., 2023]. However, this complexity of-303 ten remains unresolved until a large earthquake occurs and illuminates the fault geometry. In this 304 case, multi-fault ruptures can extend the total rupture length and seismic moment, and conven-305 tional hazard assessments may underestimate the maximum potential earthquake magnitude by 306 neglecting these phenomena [Schwartz et al., 2012; Nissen et al., 2016; Iacoletti et al., 2021]. 307 Our energy envelope deconvolution method has the capability to extend directivity analyses to 308 smaller earthquakes, thus expanding the number of events for which results can be obtained, and better illuminating the complexities of fault networks. This understanding of the geometry of faults 310 and their intersections could aid in assessing additional seismic hazards brought by multi-fault 311 rupture scenarios that involve blind, buried, or poorly exposed fault systems. 312

313 Acknowledgments

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318 Open Research

All waveform data are publicly available from the Caltech/USGS Southern California Seismic Network and through the STP software [*Center*, 2013]. The SCEDC focal mechanism cat-

alog is available at the SCEDC search portal [*Center*, 2013]. Some figures are generated using

the Generic Mapping Tools Software [Wessel et al., 2013].



Figure 1. Illustration of the energy envelope deconvolution method. (a) Empirical Green's Function (EGF) reference events are smaller seismic events that have similar locations and path and site effects compared to larger target events. (b) Taking the reference event waveform as a proxy for the Green's function, we can obtain the source time function (STF) of the target event by deconvolving the target event waveform with the reference event's waveform, as indicated by the first two rows. Compared to waveform deconvolution (third row), deconvolution of energy envelopes (fourth row) better preserves the shape of the two subevents in the STF of the target event.



Figure 2. Composite reference and target event formed using real earthquake data. (a) The reference event, 330 a M_W 3.65 Ridgecrest earthquake (SCEDC id 38451239), with location and focal mechanism indicated by 331 the blue beachball in the upper panel. The lower panel shows transverse-component shear waves of this event 332 sorted by station azimuth. (b) Target event synthesized by summing the waveforms from the M_W 3.65 event 333 (SCEDC id 38451239) for the first subevent and a second M_W 3.72 event (SCEDC id 38448791) for the 334 second subevent. We applied time shifts for these two subevents corresponding to a time delay of 1.5 s and a 335 relative distance of 1.5 km, to simulate northeastward rupture directivity. Note that the waveforms from the 336 two subevents have overlaps and interfere at most stations. 337



Figure 3. Comparison of source-time functions of the composite target event (Fig. 2) derived from: (a) waveform deconvolution, and (b) energy envelope deconvolution. The vertical black dashes show the limit for measuring apparent source durations. The dashed gray and blue lines indicate the best fit and true source duration curves in each scenario, respectively. Red and blue arrows denote the inverted and true rupture directivities, respectively.



Figure 4. Seismicity of the Ridgecrest region. The red lines indicate the mapped surface ruptures [*Brandenberg et al.*, 2020]. Light gray dots indicate relocated aftershocks [*Ross et al.*, 2019], among which the dark gray circles are earthquakes analyzed in this study, with magnitudes between 3.5 and 5.5. Lower right inset histogram shows the magnitude distribution of these events. Upper left inset show the location of the map on a larger-scale California fault map.



Figure 5. Energy envelope deconvolution of the 2019 M_W 5.4 Ridgecrest earthquake. (a) Resolved rupture directivity of the M_W 5.4 event indicated by the red arrow. We use the magnitude 3.72 earthquake (SCEDC id

350 38448791) as a reference event in the deconvolution processes. (b) Rupture directivity estimated permitting

all possible directions. (c) Rupture directivity derived with the constraints that the directivity should be con-

sistent with the focal mechanism strike angles.



Figure 6. Comparison between directivity results of 69 events from the free and nodal-strike-constrained searches. (a) Rose diagram of the rupture directivities from the unconstrained searches. (b) Rose diagram of the rupture directivities from inversions that align the directivities with focal mechanism nodal strikes. Maximum radius denotes five events. (c) Comparison of the directivity results for the free and constrained searches. The error bars indicate the standard deviations of the free-searched directivities, derived using a bootstapping resampling approach.



Figure 7. Spatial distribution of the rupture directivities of 69 M_W 3.5–5.5 earthquakes. Directivities are shown by the orange arrows on corresponding beachballs. Inset rectangles show three sub-regions of the Ridgecrest fault system shown in Figs. 8–10.



Figure 8. Rupture directivities of earthquakes in the northwestern section of the Ridgecrest fault system (a), and inferred fault architecture from seismicity (b). The inferred faults as shown by dashed lines align well with the aftershock locations (gray dots). The northwestern section exhibits complex subparallel splay faults with a few antithetic faults cutting across them.



Figure 9. Middle section of the Ridgecrest fault system. Symbols are the same as Fig. 8. The middle

section consists two major subparallel NW-SE fault segments with three smaller NE-SW oriented subfaults
 cutting across them.



Figure 10. Southeastern section of the Ridgecrest fault system. Symbols are the same as in Fig. 8. The rupture directivities are highly variable, and the inferred fault lines suggest complex fault bifurcation into multiple horsetail lines with a number of NE-SW trending subfaults cutting across them.



Figure 11. Distribution of rupture velocities. (a) Rupture velocities of the analyzed Ridgecrest events 372 shown by the colored circles. (b) Depth distribution of rupture velocities. We do not observe significant 373 depth-dependence of these rupture velocities. 374



Figure 12. Comparison between fault strike variation with small earthquake stress drops. (a) Standard deviation of the fault strike orientations, calculated using earthquakes within 5-km distance radius for each event. (b) Stress drop estimates for M 1.5 to 4 earthquakes in the Ridgecrest region [*Shearer et al.*, 2022].

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